



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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#3.  
#4

In Re Patent Application Of: **Laurence Hamid**

Our File: **12-70 US**

Serial No: **09/973,011**

Group: **2621**

Filed: **October 10, 2001**

Examiner: **Not Assigned Yet**

For: **Method and System for Fingerprint Authentication**

January 07, 2002

Commissioner for Patents

United States Patent and Trademark Office

Washington, D.C. 20231 U.S.A.

Dear Sir:

**RESPONSE TO NOTICE OF OMITTED ITEM(S) IN A  
NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

In response to the Notice of Omitted Items in a NonProvisional Application Filed Under 37 CFR 1.53(b) mailed November 09, 2001, we enclose the following documents:

Postcard date-stamped by the USPTO wherein the required components of the application are itemized therein;

2<sup>nd</sup> postcard from the USPTO (wherein the Office has affixed a sticker which indicates the application number and filing date);

Notice of Omitted Item(s) in a NonProvisional Application Filed Under 37 CFR 1.53(b) –

Part 2- Copy to be Returned With Response

Figure 7d.

Adjustment date: 06/25/2002 AKELLEY  
01/14/2002 ANONDAF1 00000129 501142 09973011  
01 FC:122 130.00 CR

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- 2 -

### REMARKS

Reference is made to the Manual of Patent Examining Procedure (MPEP), Edition 8 (E8), August 2001, Chapter 500, Section 503, pages 500-14 and 500-15. On page 500-14 under the heading "Return Postcard, beginning at line 6, it is stated:

**"A postcard receipt which itemizes and properly identifies the items which are being filed serves as *prima facie* evidence of receipt in the USPTO of all the items listed thereon on the date stamped thereon by the USPTO. "**

Applicant contends that Figure 7d was, in fact, deposited in the United States Patent and Trademark Office with the nonprovisional application papers.

We submit a copy of the postcard receipt datestamped by the Office as *prima facie* evidence and a second postcard receipt (wherein the Office affixed a sticker which identifies the application number and filing date) as further *prima facie* evidence. Each postcard itemizes the required separate components of the application papers in accordance with MPEP, Chapter 500.

We contend that 12 pages of drawings (including Figure 7d) were submitted to the Office at the time of filing as is evidenced in the postcard. The person receiving the items in the USPTO checked the listing on the postcard against the application papers being filed and did not indicate on the postcard that there were any missing pages.

We believe that we have provided the Office with *prima facie* evidence that 12 pages of drawings were submitted and respectfully request that the missing page, namely Figure 7d, be included with the original filed application papers.

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**Please charge the Petition Fee of \$130.00 to Deposit Account 50-1142.**

**Please charge any additional fees or credit overpayment to Deposit Account No: 50-1142.**

We respectfully request the Office to refund the Petition Fee of \$130.00 to Deposit Account **50-1142**, in the event the Office determines that the omitted items were received with the original filed application papers.

Respectfully submitted,



Gordon Freedman, Reg. No. 41,553

Freedman & Associates  
117 CentrepoinTE Drive, Suite 350  
Nepean, Ontario  
K2G 5X3 Canada

Tel: (613) 274-7272  
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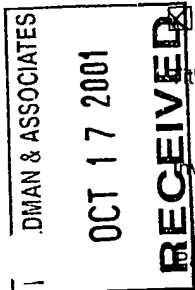
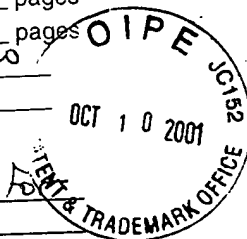
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**USPTO MAILROOM STAMP AFFIXED HERETO.  
ACKNOWLEDGES RECEIPT OF:**

New Patent Application includes:  
Specification: Description, Claims, Abstract 30 pages  
Drawings 12 pages  
Small Entity Claim \_\_\_\_\_  
Signed Declaration/ Power of Attorney \_\_\_\_\_ pages  
Assignment (including Cover Sheet) \_\_\_\_\_ pages  
Filing Fees (charged to deposit acct) \$ 412.00  
Assignment Fee (charged to deposit acct) \$ \_\_\_\_\_  
Information Disclosure Statement & related references \_\_\_\_\_



Title: Improved Method & System for  
Fingerprint Authentication

Inventor(s): Laurence Hamid

Patent Agent: Freedman & Associates

Our File: 12-70 US

**FREEDMAN & ASSOCIATES**  
117 CENTREPOINTE DRIVE  
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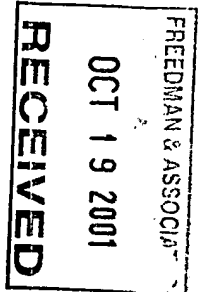
- ☒ New Patent Application includes:
- ☒ Specification: Description, Claims, Abstract 30 pages
- ☒ Drawings 12 pages
- ☒ Small Entity Claim
- ☒ Signed Declaration/ Power of Attorney 2 pages
- ☐ Assignment (including Cover Sheet) \_\_\_\_\_ pages
- ☒ Filing Fees (charged to deposit acct) \$412.00
- ☐ Assignment Fee (charged to deposit acct) \$ \_\_\_\_\_
- ☒ Information Disclosure Statement & related references

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Inventor(s): Laurence HAMID

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<input checked="" type="checkbox"/>	No Fee Due (Explanation):	9 <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">5</td> <td style="width: 20px;">0</td> <td style="width: 20px;">--</td> <td style="width: 20px;">1</td> <td style="width: 20px;">1</td> <td style="width: 20px;">4</td> <td style="width: 20px;">2</td> </tr> </table>			5	0	--	1	1	4	2
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